

## Managing Operational Risk

Presented by DR PATRICK MC CONNELL *BSc., MSc., D.B.A, Dip. (Mgmt. Cons.), C. Eng., CITP, FBCCS, MAICD, GAICD*  
Macquarie University Applied Finance Centre

### Course Synopsis

The purpose of the course is for participants to gain a working knowledge of Operational Risk Management. The course is intended to meet the growing need in industry for operational risk managers and will focus on emerging models and tools for identifying, measuring, monitoring and mitigating operational risk. The course covers the impact of the latest regulations in this area by the ASX, APRA, and Basel.

### Course Content

Course covers at varying levels:

- Introduction...incl. Basel II, corporate governance
- Identifying Operational Risks
- Measuring Operational Risks
- Mitigating, Monitoring and Controlling Operational Risk
- Calculating Operational Risk Capital
- Operational Risk Case Studies

### Designed for

The course will be of interest to both risk management professionals and operational managers employed by banks, regulators, fund managers, non-financial corporations and consulting firms.

### Presenter

**Patrick Mc Connell** *BSc., MSc., D.B.A, Dip. (Mgmt. Cons.), C. Eng., CITP, FBCCS, MAICD, GAICD* - Visiting Fellow

Dr. McConnell is a partner with Risk Trading Technology, a small consultancy specialising in Risk Management and Information Technology. In over 30 years in banking, insurance and commerce, Patrick has operated as a senior manager and consultant in investment banks and large corporations in the US, Europe and Australia. He is a published author and an experienced lecturer in risk management, especially Operational and Enterprise Risk Management. Patrick is an expert in process improvement and is a Six Sigma Black Belt.

### Course Outline

A detailed outline and presenter(s) biographies available from [www.mafc.mq.edu.au/files/short\\_courses/outline\\_MOR.pdf](http://www.mafc.mq.edu.au/files/short_courses/outline_MOR.pdf)

## Details

### When

Friday 12th November 2010  
9.00 am to 4:30 pm

### Venue

Macquarie University  
Applied Finance Centre,  
Sydney Office,  
Level 3, 10 Spring Street,  
SYDNEY NSW 2000

### Cost (includes GST, Course Notes, Lunch)

\$ 1050 for individual attendees

\$ 995 for two or more from one organisation

\$ 900 for MAFC students & graduates & RMA members

**Cancellation** Three full working days notice is required for a 100% refund. You may substitute another person if you cannot attend.

**Cancelled courses** MAFC strives to hold courses as scheduled. However, we reserve the right to cancel courses in circumstances beyond our control. Registration fees will be reimbursed. MAFC is not liable for any travel-related or accommodation expenses that might be incurred.

**Confirmation** Places are limited so bookings will be confirmed by email.

**CPD** This training course may contribute to your CPD requirements

## Registration Form (including Tax Invoice & Receipt) • CMBF Limited (ABN 46 003 407 609)

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Please e-mail enquiries to: [mafc.exectraining@mq.edu.au](mailto:mafc.exectraining@mq.edu.au), telephone (02) 9223 6231, or visit our website at [www.mafc.mq.edu.au](http://www.mafc.mq.edu.au)

### MAFC EXECUTIVE TRAINING YOU ARE REGISTERING FOR:

'Managing Operational Risk' held in Sydney on Friday 12th November 2010

### Registration details:

Title .....	First Name .....	Last Name .....		
Fee requirement (tick one only)	(regular) <input type="checkbox"/> \$1050 per person (incl. GST)	(bulk & RMA) <input type="checkbox"/> \$995 per person (incl. GST)	(alumni) <input type="checkbox"/> \$900 per person (incl. GST)	

Preferred name on badge / deskplate .....

Company / Organisation .....

Position .....

Company address .....

Telephone .....

Facsimile .....

Email .....

List any special dietary requirements .....

### Please assist us with the following:

How did you learn about this MAFC Executive Training Course?

- Email       Advertisement       Website  
 Word of mouth       Other: \_\_\_\_\_

Completed forms to: Macquarie University Applied Finance Centre  
GPO Box 3480 Sydney NSW 2001 • by fax: (02) 9223 6237

## Payment details

1. Please make cheques payable to CMBF Limited.
2. All applications for registration must be accompanied by a full payment.
3. Please complete the following details as required and then sign in the space below.

### 1 Payment method (tick one only)

- Cheque (Cheques please complete step 2 below)  
 Visa } (Credit Cards please fill in step 2 and step 3 below)  
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### 2 For credit card payments

Card Number .....

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