

LexisNexis and  
Risk Magazine presents

# 7th Annual Risk Management Conference

Real people Real stories Real solutions

Pre-conference workshops: 9 August 2010  
Conference: 10 – 11 August 2010  
Sydney Harbour Marriott Hotel

## Program highlights

- Examine the continual convergence of corporate governance and risk management
- Review the changes in the new ISO31000 standard
- Discuss Embedding Risk Culture and learn how to communicate objectives from the RM team to the Executive
- Gain insights into Business Resilience & Continuity post GFC
- Broadening key risk indicators beyond the financial
- Understanding the crucial alignment of risk and strategy
- GRC Model and Implementation

## Speakers



**Grant Purdy**, Associate Director, **Broadleaf Capital International**. Chair, **Standards Australia** and **Standards New Zealand Risk Management Committee**. Nominated Expert, **ISO Risk Management Working Group**



**Kerry Stubbs**, CEO and General Manager, **Northcott Disability Services**



**Paul Muir**, Executive Manager Risk and Compliance, General Insurance, Chief Risk Officer, **Suncorp**



**Michael Vincent**, Director, Risk Management, **Monash University**

Register today! visit [www.lexisnexis.com.au/risk](http://www.lexisnexis.com.au/risk)

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Institute



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# 7th Annual Risk Management Conference

Real people. Real stories. Real solutions.

## Pre-conference Workshops – Monday, 9th August, 2010

### Morning Workshop (including morning tea)

Dr. Ulysses Chioatto will expand his GRC model in this 3 hour workshop.

8:30 Conference registration

9:00am – 12:00noon

#### Governance Risk and Compliance Model

- GRC: Corporate governance (principles and practice, framework implementation case studies)
- Risk Culture: “get of gaol card” or hype? (implementing real metrics)
- New corporate governance: successful board and management tools
- Addressing the recurrent crisis in corporate governance

**Dr. Ulysses Chioatto, Director, SSAMM Management Consulting**

12:00 – 1:00pm:

Networking lunch for speakers and full-day delegates

### Afternoon Workshop (including afternoon tea)

Michael Vincent will take you through some ultra-practical exercises to help sharpen your risk skills.

12:30 Conference registration

1:00pm – 4:00pm

#### Quick Decisions Exercises for risk and assurance

- How can we get value out of the assurance disciplines within a firm
- *The risk scorecard - fact or fiction*

**Michael Vincent, Director, Risk Management, Monash University**

4.00 Close of workshops

## Who should attend?

- Risk Managers
- CEO's
- Compliance Managers
- CRO's
- Group Risk Officers
- Finance and Risk Managers
- Systems Risk Managers
- Operational Risk Managers
- Risk and Assurance Managers
- Auditors and Internal Auditors
- Business Continuity Managers and Risk Consultants

## This is what past delegates had to say about our 2009 Risk Management speakers

‘Excellent & relevant.’

‘Always entertaining.’

Re Michael Vincent

‘Very informative but also amusing.’

‘Fantastic knowledge on risk - ability to present with humour. Wonderful.’

Re Grant Purdy

↘ Register online today:  
[www.lexisnexis.com.au/pd](http://www.lexisnexis.com.au/pd)

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Professional Development

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# Conference Day 1 – Tuesday, 10th August, 2010

- 8:30 Conference registration
- 9:00 Welcome from the Chair
- 9:10 **Keynote Address**  
**Generational Change - Implications for Risk, Compliance and Governance.**  
*Dr. Len Gainsford, Director, Audit & Assurance, Department of Transport - Victoria*
- 10:00 **The convergence of risk and compliance: Employing risk management practices to drive an efficient operationally focused compliance framework**  
Peter Whyntie, CCP, looks at the emerging argument that risk and compliance is converging into a common discipline where compliance is simply a sub-set of operational risk management. Peter challenges this concept and proposes that the better way of viewing it is that to fully empower compliance as a value adding and dynamic discipline we need to harness the methodologies of and the corporate intelligence gathered by, the operational risk function. However he also highlights some of the benefits of ensuring that compliance is also managed as a distinct discipline, and some of the downsides of failing to do so.  
*Peter Whyntie, President, Australasian Compliance Institute*
- 11:00 Morning tea
- Discussion Panel**
- 11:20 **Embedding Risk Culture: Communicating objectives from the RM team to the Executive**  
*Facilitated by: Todd Davies, Consultant, Director and Audit & Risk Committee member*  
**Panel:**  
*Kerry Stubbs, CEO and General Manager, Northcott Disability Services*  
*Jodie McKeon, Senior Operational Risk and Compliance Manager, AMP*  
*Vaughn Wilkinson, Group Risk Manager, Mirvac*  
*Graeme Alexander, Head of Compliance and Ethics, AMEX*
- 12:30 Networking lunch for speakers and delegates
- 1:30 **An appetite for risk – lessons from developing an organisational risk appetite**
  - What do we mean by Risk Appetite?
  - Whose appetite is it?
  - Key criteria for successful development
  - Making it real - how do we embed appetite in the organisation?**John Evans, Head of Enterprise Risk Advisory, CBA**
- 2:15 **Case Study**  
**Effective use of a 'Risk Appetite Statement' in a changing environment**  
*Glenn Mescher, Executive General Manager Regulatory Affairs, Operational Risk & Compliance, National Australia Bank*
- 3:00 Afternoon tea
- 3:15 **Alignment of Risk and Strategy.**
  - What is risk, how is it created and who owns it? - the link to strategy
  - we all know Risk plays an important role in strategic planning - how do you make it happen?
  - how do you optimise risk? - translating risk appetite into action
  - what does successful alignment of risk and strategy look like?**Richard Gossage and Kylie Rixon, Partners, PricewaterhouseCoopers**
- 4:00 **The Perfect Storm – Risk Management during a period of significant organisational change**
  - Maintaining a risk management focus through the storm
  - Managing multiple projects
  - Responding to the evolving requirements of management
  - Dealing with change fatigue and complacency**Paul Muir, Executive Manager Risk and Compliance, General Insurance, Chief Risk Officer, Suncorp**
- 4:45 **Closing remarks from the Chairperson**
- 5:00 **Networking drinks which leads to the Risk Awards**  
Take this opportunity to unwind, meet new contacts, re-establish old acquaintances and share in the anticipation of the Risk Awards. Nominations close 12 July 2010.

## Conference Day 2 – Wednesday, 11th August, 2010

- 8:30 **Conference registration**
- 9:00 **Welcome from the Chairperson**  
*Grant Purdy, Chair, Standards Australia, Associate Director, Broadleaf Capital Internation*
- 9:15 **“No better chance, no greater care” TM**  
**Optimising patient and business outcomes with strategically aligned risk management.**
  - The importance of Identifying KPIs and KRIs for all stakeholder groups
  - Embedding a risk management culture at all business levels
  - Alignment with company values
  - Evolution – survival of the (risk) fittest.*Professor Robert Jansen, CEO, Sydney IVF*
- 10:00 **Using ISO 31000 to design and implement an effective risk management framework**
  - The implications of the new definitions for risk and risk management.
  - Why do you need a framework?
  - What are the components, performance requirements and attributes for the framework?
  - How should you implement or re-vitalise effective risk management?
  - Using Governance reporting to drive effective risk management.*Grant Purdy, Associate Director, Broadleaf Capital International. Chair, Standards Australia and Standards New Zealand Risk Management Committee. Nominated Expert, ISO Risk Management Working Group*
- 10:45 **Morning tea**
- 11:00 **Governance Risk and Compliance Model**
  - GRC: Corporate governance (principles and practice, framework implementation case studies)
  - Risk Culture: “get of gaol card” or hype? (implementing real metrics)
  - New corporate governance: successful board and management tools
  - Addressing the recurrent crisis in corporate governance*Dr. Ulysses Chioatto, Director, SSAMM Management Consulting*
- 11:45 **Challenges in embedding a risk culture in a financial services organisation**
  - Defining the desired risk culture and behaviours
  - Risk vs Compliance
  - Balancing risk culture with revenue objectives
  - Indicators of success*Karen Smith-Pomeroy, Chief Risk Officer Banking, Suncorp Metway Limited. Management Committee RMA Australia*
- 12:30 **Networking lunch for speakers and delegates**
- Discussion Panel**
- 1:30 **Broadening Key Risk Indicators beyond the financial: New metrics encompassing environmental, reputational and ethical risk**  
**Panel:**  
*Monique Batterham, Head of Corporate Governance, Schroder Investment Manager Australia*  
*Rob Kay, Co-Founder Incept Labs, Former Head of Strategic Innovation, Westpac*  
*Tanya Cox, Chief Operating Officer, DEXUS Property Group*  
*Professor Jean Cross, Professor of risk management, UNSW*
- 3:00 **Afternoon tea**
- 3:15 **Business Resilience and Continuity post GFC**
  - Were Resilience and Business Continuity capabilities applied by organisations to help them weather the GFC “storm”?
  - What tools and techniques were used by GFC survivors?
  - What lessons can be drawn from the GFC to enhance organisation resilience?*Tim Janes, Australasian President, Business Continuity Institute*
- 4:00 **Quantifying and managing a risk culture**
  - The importance of managing risk
  - The importance of culture
  - RM and maturity assessment
  - Cultural and behavioural drivers
  - Behavioural modelling/framework/examples*David Goodsell, Vice President, Institute of Actuaries of Australia and Director, Synge and Noble.*
- 4:45 **Closing remarks from the Chairperson**
- 5:00 **Close of conference**

Register today! visit  
[www.lexisnexis.com.au/risk](http://www.lexisnexis.com.au/risk)



# Risk **sentinel** AWARDS

**Do you know of someone who should be rewarded for their outstanding work in the field of risk?**

Then nominate them today for the Inaugural Risk Sentinel Awards and join in their excitement and anticipation at the Awards ceremony at the end of day 1 of the conference.

## **Award categories include:**

- Best implementation of a risk management strategy
- Best training and development program
- Best use of technology
- Best business continuity and crisis management program
- Risk management evolution award
- Risk enterprise of the year
- Risk individual pathfinder award

**For more information  
or to nominate someone visit  
[www.lexisnexis.com.au/risk](http://www.lexisnexis.com.au/risk)**

Award Category Sponsor:



# Priority registration form Risk Management Conference

4 easy ways  
to register

**Online:** www.lexisnexis.com.au/pd  
**Phone:** 1800 772 772  
**Fax:** (02) 9422 2338  
**Mail:** Conference Co-ordinator, LexisNexis  
Locked Bag 2222,  
Chatswood Delivery Centre, Chatswood NSW 2067  
or: DX 29590 Chatswood

Please complete sections A, B, C, D

TAX INVOICE

PD1910 ABN: 70 001 002 357

## A Delegate 1 details

Mr/Ms/Dr: \_\_\_\_\_  
First name Last name

Position: \_\_\_\_\_

Organisation: \_\_\_\_\_

Postal address: \_\_\_\_\_

Suburb Postcode State

Telephone: \_\_\_\_\_

Fax: \_\_\_\_\_

Email (required\*\*): \_\_\_\_\_

## Delegate 2 details

Mr/Ms/Dr: \_\_\_\_\_  
First name Last name

Position: \_\_\_\_\_

Telephone: \_\_\_\_\_

Fax: \_\_\_\_\_

Email (required\*\*): \_\_\_\_\_

## Delegate 3 details

Mr/Ms/Dr: \_\_\_\_\_  
First name Last name

Position: \_\_\_\_\_

Telephone: \_\_\_\_\_

Fax: \_\_\_\_\_

Email (required\*\*): \_\_\_\_\_

\*\* to send conference confirmation

## B GROUP BOOKING

Register 3 or more people from the same organisation at the same time and book at the advanced price per person.

### CONFERENCE RESOURCES

I am unable to attend but would like to purchase a set of the Risk Management Conference papers for \$360 + GST = \$396

## C PLEASE SUBSCRIBE ME TO A 12 MONTH SUBSCRIPTION TO:

- Risk Magazine \$59.09 + GST = \$65.00  
 Risk Management Today (Hard copy) \$439.90 + GST = \$483.89  
For more information on these products call 1800 772 772

### PROGRAM CHANGES

Details regarding this conference were confirmed and correct at the time of printing. LexisNexis reserves the right to cancel or amend the conference details at any time if required.

### CANCELLATION

Your registration will be confirmed in writing when full payment has been received. LexisNexis' cancellation policy applies as follows. If cancellation is notified in writing:

1. One calendar month or more prior to the first day of event: LexisNexis will refund your registration fee less \$165.00 administration cost.
2. Between one calendar month plus one day and 14 days prior to the first day of event: LexisNexis will refund 50% of the fee paid by you and provide you with a copy of event papers.
3. 13 days or less prior to the first day of the event: LexisNexis will not refund any part of your fee. However, you may nominate a replacement delegate to attend in your place.

## D EVENT PRICING (please tick your selection)

### EXAMPLE

<input checked="" type="checkbox"/>	One day conference	I	\$900 + GST = \$990	<b>\$990</b>
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### Advance Price (register and pay before 28 May 2010)

### Group Booking Price (per person, available anytime)

Please Select	Options	Qty	Price	Subtotal
<input type="checkbox"/>	Two day conference		\$1800 + GST = \$1980	
<input type="checkbox"/>	One day conference ^		\$900 + GST = \$990	
<input type="checkbox"/>	Full day workshop		\$900 + GST = \$990	
<input type="checkbox"/>	Half day workshop #		\$450 + GST = \$495	

### Early Bird Price (register and pay after 28 May 2010 and before 2 July 2010)

<input type="checkbox"/>	Two day conference		\$1950 + GST = \$2145	
<input type="checkbox"/>	One day conference ^		\$1050 + GST = \$1155	
<input type="checkbox"/>	Full day workshop		\$1050 + GST = \$1155	
<input type="checkbox"/>	Half day workshop #		\$550 + GST = \$605	

### Standard Price (register and pay after 29 January 2010)

<input type="checkbox"/>	Two day conference		\$2050 + GST = \$2255	
<input type="checkbox"/>	One day conference ^		\$1150 + GST = \$1265	
<input type="checkbox"/>	Full day workshop		\$1150 + GST = \$1265	
<input type="checkbox"/>	Half day workshop #		\$650 + GST = \$715	

\* Early Bird, team discounts and any other discount cannot be taken concurrently

**TOTAL**

### I WOULD LIKE TO ATTEND:

Day 1 or  Day 2

The Awards night (free)

#  Morning workshop or  Afternoon workshop

I am a  RMA Member  ACI Member  BCI Member

WHERE DID YOU HEAR ABOUT THIS EVENT \_\_\_\_\_?

## E PAYMENT DETAILS

Payment is due upon registration. This document will be a Tax Invoice/Receipt for GST upon completion of payment.

Enclosed is my cheque for: \$ \_\_\_\_\_ made payable to LexisNexis

Pay by credit card: Please charge \$ \_\_\_\_\_ to

Mastercard  Visa  American Express

Card number: \_\_\_\_\_/\_\_\_\_\_/\_\_\_\_\_/\_\_\_\_\_

Expiry: \_\_\_\_/\_\_\_\_

Name of cardholder: \_\_\_\_\_

Signature of cardholder: \_\_\_\_\_

Charge to my LexisNexis account: \$ \_\_\_\_\_

Account number: \_\_\_\_\_

Signature: \_\_\_\_\_

Name of approving manager: \_\_\_\_\_

### IMPORTANT PRIVACY NOTICE:

The information you provide on this form is collected by Reed International Books Australia Pty Limited for the purposes of processing your registration or enquiry and keeping you informed of upcoming products, services and events. The information is disclosed from time to time to our related bodies corporate for these purposes. The provision of this information by you is voluntary but if you do not provide some or all of the requested information we may be unable to properly process your registration. You have both a right of access to the personal information we hold about you and to ask us to correct it if it is inaccurate or out of date. Photographs/audio visual content may be produced at this event and might be used for future LexisNexis Professional Development collateral. Please direct your enquiries to privacyau@lexisnexis.com.au Please note, delegate information will be provided to sponsors.

Please tick here if you do not want sponsors to receive your information

Tick here if you DO NOT wish to receive information about upcoming events

Event date  
& venue

9 - 11 August 2010  
Sydney Harbour Marriott Hotel  
30 Pitt Street Sydney NSW 2000  
Ph: 02 9259 7000