

Risk 2009

Pre-Conference Day: 24 August 2009 • Conference: 25 & 26 August 2009 • Venue: Crowne Plaza Darling Harbour, Sydney

6th Annual Risk Management Conference

Survival strategies to manage critical risks beyond the downturn

Leading speakers include:



Grant Lowen,
Chief Risk Officer
Regional Banking,
Commonwealth
Bank of Australia



Mike Cutter,
Chief Risk Officer,
Australia, ANZ Bank



Jane Couchman,
Chief Risk Officer,
BT Financial
Group



Grant Purdy,
Chairman,
Standards
Australia



Grant Whitehorn,
Former President,
Risk Management
Institution of
Australia



Andrew Bissett,
Head of Risk and
Reporting, Qantas



Emilie Williams,
Manager, Risk and
Reporting, Qantas



Grant Katz,
Head of Risk
Management and
Internal Audit, Mirvac



Matt Mueller,
Head of Corporate
Risk, Western Power



Joe Garbutt,
Head of Regulatory
Strategy,
National Australia
Bank

Plus case studies and critical discussions from:

- National Australia Bank
- Suncorp
- Boeing Defence
- Amcor
- Department of Transport Victoria
- KPMG
- Energetics
- PMP
- And more...

Attend this premier event to uncover:

- Why **enterprise risk management** is an imperative in 2009 and how this could impact on your debt ratings
- What are the **top risks in 2009** and how to address them
- What is the **critical role of risk management** in a vastly changed risk landscape
- How to **embed ERM in planning, strategy, performance management and company culture** and how this impacts your bottom line
- When **ISO31000:2009** risk management standard is to be launched and why you should cover all your bases now
- How to use **ERM to build a resilient organisation**
- How to **maximise return on risk**, manage board expectations and delineate risk ownership

NEW FOR 2009!
SEPARATELY BOOKABLE.
LIMITED PLACES!

Contribute to *Risk* magazine's next issue.

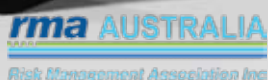
Masterclass Think Tank.

Survival strategies to reinforce risk management in tough economic conditions – what is the future of risk management?

Facilitated by: Michael Vincent, *Director, Risk Management, Monash University*

PLUS...Practical workshop
Establishing effective risk control, measurement and monitoring systems for emerging risks

Supporting Organisations:



Product of:



Risk2009

Survival strategies to manage critical risks
beyond the downturn

Dear Risk Professional,

It is my pleasure to invite you to be a part of the **6th Annual Risk Management Conference: Risk 2009: Survival strategies to manage critical risks beyond the downturn.**

As the premier event in risk management, **Risk 2009** will provide a strategic senior level forum to deliberate on effective risk management strategies and navigate the most critical risks of the year: **the global recession, enterprise risk oversight, outbreaks of swine flu, financial fraud and the threat of insolvency.**

2009 is a critical year for risk managers. Not only is the risk management role increasingly pivotal, critical events are expected to come to fruition such as the launch of the new **ISO31000:2009 risk management standard** and incorporation of **enterprise risk management into Standard & Poor's debt ratings.**

This year, we are privileged to welcome **three Chief Risk Officers: Grant Lowen, Chief Risk Officer, Commonwealth Bank of Australia, Mike Cutter, Chief Risk Officer, ANZ Bank and Jane Couchman, Chief Risk Officer, BT Financial Group**, who will deliver critical insights on managing risk in this current climate. Hear from practical cross-industry case studies, lessons learnt and risk management best practice from **National Australia Bank, Qantas, Mirvac, Amcor, Suncorp, Western Power, Boeing Defence** and more.

Also, if you are a subscriber to *Risk* magazine, take advantage of an opportunity to have input into a special article for the magazine's next edition by imparting your insights at the **Pre-conference Masterclass Think Tank.**

Be at the forefront of industry developments and sharpen your focus to face the critical risks in 2009 onwards. **Join top risk management leaders in brainstorming on critical emerging risks in a tough economic environment.**

I encourage you to book your place today and we look forward to seeing you at the conference in August.

Yours sincerely
Mark Phillips
Editor, *Risk* magazine

Official Media Partner:

Risk
MAGAZINE
MINIMISE MITIGATE MANAGE

Pre Conference Day
Monday, 24 August 2009

Workshop: 9:00am - 12:00pm

Establishing effective risk control, measurement and monitoring systems for emerging risks

- Telling apart: emerging from prevailing and receding risks
- Using business objectives to see how and where risks emerge
- Utilising 'causal model' risk analysis
- Developing multi-criteria risk-assessing tools
- Designing effective internal control measures
- Setting 'early-warning' emerging risk indicators
- Responding to adverse emerging risk trends

Julian Deetcheer, BA(Hon), CA, CIA, Risk Manager, Munich Reinsurance Group in Australasia

12:00pm – 1:00pm Networking lunch for speakers and full-day delegates

LIMITED PLACES!

Masterclass Think Tank: 1:00pm - 4:45pm

Survival strategies to reinforce risk management in tough economic conditions – what is the future of risk management? (Subscribers only)

Take the opportunity to have your say in *Risk* magazine. Contribute to an exclusive true masterclass on the future of risk management and the value of enterprise risk management in this climate. Findings will be published in *Risk* magazine's next issue.

Are you a current professional risk manager who has an interest in the future of the risk management process within your organisation? Do you have an opinion and something to say?

Do you see risk as under threat in your organisation and the functions increasingly being confused with compliance?

Do you want to have your say and make a difference?

The aim of this highly-interactive masterclass is to explore the challenges facing the profession under these difficult times and to suggest solutions on how to turn adversity into opportunity, it will be facilitated but the outcomes are up to the participants.

- What are the top risks in 2009?
- Key trends in managing risk in economic downturn
- Future of risk management – what lies ahead
- What is the value of enterprise risk management in this climate? Could ERM provide long-term value to the business?

Facilitated by:

Michael Vincent, Director, Risk Management, Monash University

Michael spent 22 years in the banking and finance industry as a practitioner, where he gained experience in all areas of the industry, ranging from bank branches to the international arena. Michael's pioneering formal integrated risk education within Australia and internationally is the envy of risk management organisations and universities in Europe, the UK and North America, as well as other Australian Universities. Michael designed and wrote the course doctrine and outlines in management of risk presently offered by Monash University in the Master of Risk Management degree.



Conference Day One Tuesday, 25 August 2009

8:30 **Conference registration**

9:00 **Welcome from the Chairperson**

Mark Phillips, *Editor, Risk magazine*

9:10 **Best Practice ERM: Using enterprise risk management to build a resilient organisation**

- Opening address
- Examining the ERM approach - frameworks and processes
 - How is resilience defined in your organisation?
 - How to use risk management to achieve a resilient culture
 - Understanding your risk profile
 - Overcoming challenges in ERM implementation
 - Can risk build resilience for the organisation?

Grant Whitehorn, *Former President, Risk Management Institution of Australia, CEO & Director, Risk Management Innovations*

9:50 **Lessons learnt from the Global Financial Crisis – gauging your risk barometer**

- Interactive forum
- Examining how the GFC impacts the risk profile of organisations
 - Tightening risk controls, and diversifying risk in an uncertain environment
 - Strengthening financial confidence in financial downturn
 - Addressing the need for concerted action
 - Are we facing a regulatory revolution? How can we harmonise standards?

Panellists:

Grant Lowen, *Chief Risk Officer Regional Banking, Commonwealth Bank of Australia*

Grant Katz, *Head of Risk Management and Internal Audit, Mirvac*

Darren Taylor, *Group General Manager, Internal Audit, Amcor*

Joe Garbutt, *Head of Regulatory Strategy, National Australia Bank*

10:40 **Morning tea**

11:10 **Reinforcing robust enterprise-wide risk management frameworks across the organisation**

- Case study
- Embedding ERM in planning, strategy and company culture
 - Delivering a sound risk culture and frameworks and solidifying risk management processes and technology
 - Linking ERM to the organisation's core decision-making processes
 - Boosting performance through integrating risk and control
 - Effectively managing risk exposures
 - Identifying, assessing and managing impacts of risks on businesses and customers in the current economic climate
 - Determining the organisation's risk thresholds
 - Addressing challenges in enterprise risk management in the current environment

Jane Couchman, *Chief Risk Officer, BT Financial Group*

11:50 **Forging the roadmap to successful ERM implementation – the Qantas journey**

- Adopting enterprise-wide risk management standards, guidelines and frameworks - the Qantas journey
- Is risk management broken? What are the gaps in your ERM framework?
- Key ingredients for success
 - risk appetite
 - embedding risk management
 - professional service focused
 - data analytics - identify, measure and predict

Andrew Bissett, *Head of Risk and Reporting, Qantas*

Emilie Williams, *Manager, Risk and Reporting, Qantas*

12:30 **Networking lunch for speakers and delegates**

1:30 **Establishing clear accountability and performance management for risk management**

- Defining roles and responsibilities, being clear on what and how value is created
- Delineating the ownership of risk management, building clarity and understanding on accountability for risk, governance and compliance
- Intersections between risk and performance management, rewarding for the right things that help preserve the future success of the organisation
- Building a strong value proposition for a career or a stint in Risk

Glenn Jackson, *General Manager, People & Culture, Risk, National Australia Bank*

2:10 **Integrating strategic objectives and risk management: the risk value-add**

Case study

Many organisations have separate silos for risk and strategy. Integrating the two doesn't mean changes to the organisational structure, but in the mind-set of managers. In the session, Matt will go over how Western Power has been successful in integrating the two and provide key lessons learnt.

- Aligning strategic and operational risks to corporate strategic direction and plan
- Participating in Board / Executive / Divisional strategy review and development
- Demonstrating the long-term value of risk management and bottom-line impacts
- Assimilating business feedback directly into the risk framework, processes and tools
- Underlying methods and principles to our approach including consulting techniques
- Designing a single process for strategy development and risk reviews
- Respect true accountability through providing senior managers full ownership of their risk profiles
- Proactive engagement with the business in tackling strategic problems

Matt Mueller, *Head of Corporate Risk, Western Power*

2:50 **Achieving synergy between compliance and risk management in the face of tougher regulation**

Corporate failures, rising demands from shareholders and regulators and new legislation have added to the burden of black letter law of compliance. This session will discuss:

- What is the role of risk and compliance in today's climate?
- Examining the criticality of defining the role, structure, methodology and systems of risk and compliance
- Merging risk and compliance functions – what are the pros and cons?
- Ensuring your internal controls and compliance frameworks are watertight
- Strengthening the compliance culture
- Mapping new compliance areas resulting from key emerging global risks

Martin Tolar, *CEO, Australasian Compliance Institute*

3:30 **Afternoon tea**

manage critical risks beyond the downturn

- 3:50 **Strengthening risk governance frameworks to combat the crisis**
- Regulatory developments on risk management and governance in response to the crisis
 - Examining the progress of the wider global regulatory reform agenda
 - What are the specific regulatory developments on risk management and governance?
 - What are the lessons, and what might we review, at this stage?
 - Aligning risk management functions to support governance
 - A strategic approach to risk management
- Joe Garbutt, *Head of Regulatory Strategy, National Australia Bank*

- 4:30 **Gauging the effectiveness of risk management frameworks: An in-depth examination**
- Objectively assessing sustainability and efficiency of frameworks
 - Assessing control effectiveness
 - Benchmarking best practice
 - Case studies
- Michael Vincent, *Director, Risk Management, Monash University*

5:10 **Closing remarks from the Chairperson**

5:20 **Close of Day One**

5:30 **Networking drinks**

Take this opportunity to unwind, meet new contacts and re-establish old acquaintances.

Conference Day Two Wednesday, 26 August 2009

8:30 **Conference registration**

9:00 **Welcome from the Chairperson**
Martin Tolar, *CEO, Australasian Compliance Institute*

9:10 **Balancing risk and performance: Demonstrating the long-term value of risk management and how risk management affects the bottom-line**

- Case study
- Risk as a key business tool; Harmonising risk management with business objectives
 - Aligning risk management with strategy
 - Gaining senior management buy-in for risk processes
 - Becoming risk intelligent: finding opportunities in risk
 - Balancing risk and performance

Mike Cutter, *Chief Risk Officer, Australia, ANZ*

9:50 **Raising the bar of global risk standards to the new ISO Risk management standard: Redefining risk**

- Launch of new standard
- Launching the new risk management standard, ISO31000:2009
 - Transitioning a risk management framework from the old to new standard
 - Changing the paradigm of risk – the new definition and its implications
 - How will this impact decision-making in my organisation?
 - Using ISO31000 to evaluate the effectiveness of risk management – How do I evaluate the risk management maturity of my organisation?
 - Anticipating implementation challenges

Grant Purdy, *Chairman, Standards Australia and Standards New Zealand Risk Management Committee*
Nominated Expert, ISO TMB Risk Management Working Group
Associate Director, Broadleaf Capital International

10:30 **Morning tea**

- 11:00 **Adopting a balanced approach to risk identification**
- Developing a rigorous approach to identifying risks
 - Top-down approach v bottom-up
 - Examining the criticality of timely identification and monitoring of emerging risks
 - What adverse trends are surfacing in this economic climate?
- Paul Muir, *Executive Manager, Risk & Compliance, Commercial Insurance, Suncorp*

- 11:40 **Redefining the culture of risk management: Developing a positive risk management culture**
- Case study
- Underestimating the value of a solid risk management culture may be the critical factor determining organisational success or failure in an uncertain economic climate. This session will address:
- Discovering the link between risk culture and organisational performance
 - Embedding risk management practice across the organisation
 - Overcoming siloes
 - Assessing risk culture in your organisation
- Robert Oldfield, *Group Risk Manager, PMP Limited*
Director, Organisational Resilience

12:20 **Networking lunch for speakers and delegates**

**Attend either Stream A
or Stream B**

STREAM A

- 1:20 **Key Risk Indicators - A powerful risk and management tool in ERM**
Key Risk Indicators are one of the most powerful ERM tools available. They allow the most “real time” monitoring of risk across the business and provide management with objective risk information with which to manage the business. This session will leave you with an appreciation of how you can implement a powerful KRI system in your organisation and extract serious value from your ERM spend.
- Examining the benefits of a KRI system, the nature of KRIs and how they compare with KPIs
 - Exploring the range of KRIs from simple numbers to more complex algorithms
 - Selecting the best KRIs
 - Collecting, analysing and aggregating KRI information
 - Reporting KRIs
 - Using KRIs for risk and general management
- David Tattam, Director, Protecht Advisory**
- 2:10 **Guarding against insolvency, counterparty risk and litigation risk: Protecting your business**
- What are the options for reducing insolvency risk?
 - Mitigating insolvency risk through controls
 - Safeguarding against counterparty risk in contractual obligations
 - A rigorous approach to identifying and quantifying litigation risks in the current economic climate
- Bruce Hambrett, Partner, Baker & McKenzie**
- 2:50 **Afternoon tea**
- 3:20 **War stories**
Minimising exposure to escalating financial fraud in times of economic downturn
- What types of fraud are prolific?
 - Fostering watertight financial management practices and active fraud detection for key business units locally and globally
 - Effectively managing fraud risk and reducing your exposure – what policies and procedures are critical?
 - Performing integrity due diligence when entering new agreements, acquiring new assets, and monitoring performance of suppliers
 - Moving from a reactionary to preventative approach
- Gary Gill, National Leader, KPMG Forensic**
- 4:00 **Facing up to the climate change business challenge: Mitigating regulatory, financial risks and business impact**
Despite deferral of the government Carbon Pollution Reduction Scheme, draft legislation and changes are now introduced into parliament. 2010 will be a transitional year for business compliance by 2011-2012. A key global risk in 2009, is your business prepared to face the climate change debacle?
- The global and Australian climate change challenge for business
 - A new compliance area: Requirements for carbon monitoring, reporting and auditing
 - Examining the types and magnitude of business risks posed by climate change and how to manage these, whilst taking advantage of opportunities
 - Managing effects on business operations, regulatory and financial risks
 - Reputational risk issues
- Jonathan Jutsen, Founder and Executive Director, Energetics**
- 4:40 **Close of conference**

STREAM B

- 1:20 **Business Continuity in 2009 – analysing the impact of the global recession and other key trends**
- Examining the impact of the global recession on organisational attitudes to business continuity
 - How business continuity management is changing to accommodate the new risk landscape
 - What other forces are influencing the direction of business continuity in 2009?
 - How will this affect the role of business continuity and other risk management professionals
- Peter Sierwald, NSW Area Representative, Chapter Leadership Committee Member, Business Continuity Institute**
- 2:00 **Case study**
Swine flu, bush fires and terrorism, risk and business continuity: Bullet-proofing your business in a rapidly changing risk landscape
- Integration of risk management and business continuity
 - Business Continuity Maturity Model – what level of maturity do we currently possess? How can we progress to the next level?
 - What is your resiliency rating?
 - Developing leadership capability throughout periods of reduced operational capacity and ensuring your crisis team is equipped
 - Preparing for a pandemic: ie Swine flu, bush fires
 - Lessons learn/Experience from 9/11
- Shane Barker, Manager, Business Continuity, Senior Manager, EHS, Boeing Defence Australia**
- 2:40 **Afternoon tea**
- 3:00 **Case study**
Embedding risk management into programme delivery
With the recently announced \$38 billion Victorian Transport Plan and over the years, the department has sought to improve its approach to risk management, compliance requirements via their annual risk attestation and embed the concept in a better way, especially with program delivery. This session will discuss:
- Embedding risk management within the culture of government agencies and departments
 - Approaching a whole of government approach to risk management
 - Achieving consistency in risk management frameworks across agencies
 - Systematically assessing control of risks
 - Promoting risk awareness through education, awareness-raising projects
 - Challenges encountered and lessons learnt
- Dr Len Gainsford, Director, Audit & Assurance, Department of Transport Victoria**
- 3:40 **Best practice risk financing strategies**
- Total cost of risk
 - Evaluating risk v return
 - Internal financing options
 - External financing options and risk transfer
 - Recent trends
- Harry Rosenthal, General Manager, Risk Management Services, Unimutual Limited**
- 4:40 **Close of conference**

Priority registration form

6th Annual Risk Management Conference

Pre-Conference Masterclass: 24 August 2009
 Conference: 25-26 August 2009
 Crowne Plaza Darling Harbour, Sydney

4 easy ways to register

Phone: 1800 772 772
 Fax: (02) 9422 2338
 Online: www.lexisnexis.com.au/pd
 Mail: Conference Co-ordinator, LexisNexis
 Locked Bag 2222, Chatswood Delivery Centre,
 Chatswood NSW 2067
 or: DX 29590 Chatswood

Please complete sections A, B, C

TAX INVOICE Conference code: PD6709 ABN: 70 001 002 357

GROUP BOOKING

Register 3 or more people from the same organisation at the same time and book at the advance price per person. (Please photocopy form for additional delegates)

A Delegate details

Mr/Ms/Dr: _____
First name Last name

Position: _____

Organisation: _____

Postal address: _____

Suburb Postcode State

Telephone: _____

Fax: _____

Email (required**): _____

** to send conference confirmation

B Payment details

Payment is due upon registration. This document will be a Tax Invoice/Receipt for GST upon completion of payment.

Enclosed is my cheque for: \$ _____ made payable to LexisNexis

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Account number: _____

Signature: _____

Name of approving manager: _____

CONFERENCE RESOURCES

I am unable to attend but would like to purchase a set of conference papers for the 6th Annual Risk Management Conference Sydney, \$360.00 + GST = \$396.00

SUPPORTING ORGANISATION DISCOUNT

- Yes I am entitled to a 10% discount as a member of Business Continuity Institute
- Yes I am entitled to a 10% discount as a member of Australasian Compliance Institute
- Yes I am entitled to a 10% discount as a member of RMA Australia

Membership number: _____

C Event Pricing (Please tick your selection and complete totals)

Advance Notice Price (Register and pay by 26 June)				
Please select	Options	Qty	Price	Subtotal
<input type="checkbox"/>	3 Day: Pre Conference and Conference#		\$2545.45 + GST = \$2800.00	
<input type="checkbox"/>	2 Day Conference only#		\$1800.00 + GST = \$1980.00	
<input type="checkbox"/>	1 Day Conference only#		\$900.00 + GST = \$990.00	
<input type="checkbox"/>	2 Pre Conference Day only#		\$900.00 + GST = \$990.00	
<input type="checkbox"/>	1 Pre Conference Day only#		\$450.00 + GST = \$495.00	

Early Bird Price (Register and pay after 26 June and before 17 July)				
Please select	Options	Qty	Price	Subtotal
<input type="checkbox"/>	3 Day: Pre Conference and Conference#		\$2818.18 + GST = \$3100.00	
<input type="checkbox"/>	2 Day Conference only#		\$1950.00 + GST = \$2145.00	
<input type="checkbox"/>	1 Day Conference only#		\$1050.00 + GST = \$1155.00	
<input type="checkbox"/>	2 Pre Conference Day only#		\$1050.00 + GST = \$1155.00	
<input type="checkbox"/>	1 Pre Conference Day only#		\$550.00 + GST = \$605.00	

Standard Price (Register and pay after 17 July)				
Please select	Options	Qty	Price	Subtotal
<input type="checkbox"/>	3 Day: Pre Conference and Conference#		\$3000.00 + GST = \$3300.00	
<input type="checkbox"/>	2 Day Conference only#		\$2050.00 + GST = \$2255.00	
<input type="checkbox"/>	1 Day Conference only#		\$1150.00 + GST = \$1265.00	
<input type="checkbox"/>	2 Pre Conference Day only#		\$1150.00 + GST = \$1265.00	
<input type="checkbox"/>	1 Pre Conference Day only#		\$650.00 + GST = \$715.00	

* Early Bird, team discounts and other discounts cannot be taken concurrently.

TOTAL

I would like to attend:

- Pre Conference selection: Workshop Masterclass Think Tank
- Conference selection: Day One Day Two
- Stream selection: Stream A Stream B

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Photographs/audio visual content may be produced at this event and might be used for future LexisNexis Professional Development collateral.

Tick here if you DO NOT wish to receive information about upcoming events

PROGRAM CHANGES

Details regarding this conference were confirmed and correct at the time of printing. LexisNexis reserves the right to cancel or amend the conference details at any time if required.

CANCELLATION

Your registration will be confirmed in writing when full payment has been received. LexisNexis will refund your registration fee in full less a \$165.00 administration cost if a request to cancel the registration is received in writing up to but not including 1 month prior to the event. If written notification is received any time up to but not including 2 weeks prior to the event, you will receive a 50% refund of your registration fee and seminar documents. No cancellation requests will be accepted after this time. You may nominate a replacement; however, no refund will be issued.